



**PETITION FOR EXTENSION OF TIME UNDER 37 CFR 1.136(a)  
FY 2009**

*(Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).)*

Docket Number (Optional)

W0538.70003US00

Application Number 09/698,586-Conf. #3464

Filed October 27, 2000

For METHOD OF EXCHANGING SECURITIES

Art Unit 3692

Examiner H. T. Dass

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a reply in the above identified application.

The requested extension and fee are as follows (check time period desired and enter the appropriate fee below):

	<u>Fee</u>	<u>Small Entity Fee</u>	
<input type="checkbox"/> One month (37 CFR 1.17(a)(1))	\$130	\$65	\$ _____
<input type="checkbox"/> Two months (37 CFR 1.17(a)(2))	\$490	\$245	\$ _____
<input type="checkbox"/> Three months (37 CFR 1.17(a)(3))	\$1110	\$555	\$ _____
<input type="checkbox"/> Four months (37 CFR 1.17(a)(4))	\$1730	\$865	\$ _____
<input checked="" type="checkbox"/> Five months (37 CFR 1.17(a)(5))	\$2350	\$1175	\$ 1,175.00

☒ Applicant claims small entity status. See 37 CFR 1.27.

☐ A check in the amount of the fee is enclosed.

☐ Payment by credit card. Form PTO-2038 is attached.

☒ The Director has already been authorized to charge fees in this application to a Deposit Account.

☒ The Director is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account Number 23/2825

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

I am the ☐ applicant/inventor.

☐ assignee of record of the entire interest. See 37 CFR 3.71.

Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

☒ attorney or agent of record. Registration Number 27,900

☐ attorney or agent under 37 CFR 1.34.

Registration number if acting under 37 CFR 1.34 \_\_\_\_\_

  
Signature

January 29, 2009

Date

Steven J. Henry

617.646.8000

Typed or printed name

Telephone Number

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☐ Total of 1 forms are submitted.

Adjustment date: 03/11/2009 CKH/LK  
01/30/2009 NGUYEN1 00000023 232825  
02 FC:2255 1175.00 CR

09698586

Express Mail Label No. EM 130 480 714 US Dated: January 29, 2009

01/30/2009 NGUYEN1 00000023 232825 09698586  
02 FC:2255 1175.00 DA

**UNITED STATES PATENT & TRADEMARK OFFICE**  
Washington, D.C. 20231

REQUEST FOR PATENT FEE REFUND										
1 Date of Request: <u>2/26/09</u>		2 Serial/Patent # <u>09/698586</u>								
3 Please refund the following fee(s):		4 PAPER NUMBER	5 DATE FILED							
	Filing		\$							
	Amendment		\$							
	Extension of Time		\$ 1,175.00							
	Notice of Appeal/Appeal		\$							
	Petition		\$							
	Issue		\$							
	Cert of Correction/Terminal Disc.		\$							
	Maintenance		\$							
	Assignment		\$							
	Other		\$							
		7 TOTAL AMOUNT OF REFUND	\$ 1,175.00							
		8 TO BE REFUNDED BY:								
		Treasury Check								
		Credit Deposit A/C #:								
		9. <table border="1" style="display: inline-table; text-align: center;"> <tr> <td>2</td><td>3</td><td>--</td><td>2</td><td>8</td><td>2</td><td>5</td> </tr> </table>		2	3	--	2	8	2	5
2	3	--	2	8	2	5				
10 REASON:										
	Overpayment									
	Duplicate Payment									
X	No Fee Due (Explanation):									
No EOT fee due w/ petition										
11 REFUND REQUESTED BY:										
TYPED/PRINTED NAME: Denise Williams		TITLE: Petitions Examiner								
SIGNATURE: <u>Denise Williams</u>		PHONE: x28930								
OFFICE: Office of Petitions										
***** THIS SPACE RESERVED FOR FINANCE USE ONLY: *****										
APPROVED: <u>CKHOK</u>		DATE: <u>3/11/09</u>								

*Instructions for completion of this form appear on the back. After completion, attach white and yellow copies to the official file and mail or hand-carry to:*

**Office of Finance  
Refund Branch  
Crystal Park One, Room 802B**